

FirstClearing

A trade name of Wells Fargo Clearing Services

Change. It's all around us. And the pace is unprecedented.

Firms, their leaders and advisors are facing new demands as client needs and expectations evolve.

How do you innovate and keep pace with opportunity? How do you effectively manage risk?

Sometimes the best way to look ahead is by stepping back — to see the bigger picture.

First Clearing's CONNEXION FORUM SERIES is dedicated to exploring solutions to help navigate the shifting landscape. Founded on the belief that the best ideas, insights and innovations are the result of open conversation and collaboration, the series is designed to foster dialogue and the exchange of ideas.

Join your peers and industry leaders as we step away from the day-to-day to boldly look forward and challenge conventional thinking:

[Graphic Overlay: Compliance Risk & Management Forum: *key trends and issues*]

Connecting Chief Compliance Officers with top regulatory and compliance experts in discussions of key trends, updates and issues.

[Graphic Overlay: Service Quality Forum: *execution, efficiency and service*]

Engaging operations professionals in driving greater efficiencies and creating exceptional client experiences.

[Graphic Overlay: Business Growth Forum: *increasing client loyalty*]

Convening sales leaders to examine business development opportunities and paths to sustainable growth.

[Graphic Overlay: Financial Advisors' Forum: *changing needs of clients*]

Focusing on the changing needs of clients and the best practices advisors can leverage to build trusted, lasting relationships.

FirstClearing

A trade name of Wells Fargo Clearing Services

[**Graphic Overlay:** Principal's Forum: *collaborative approaches*]

Bringing firm leaders together to explore industry dynamics, inspire innovation and foster shared successes.

The CONNEXION FORUM SERIES. Become First Clearing Empowered.

First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, Member SIPC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company. For broker-dealers and financial professionals only. First Clearing does not provide services to the general public. 0518-04934